Please read all the instructions carefully before filling the form. Please fill in ENGLISH and in BLOCK LETTERS with black ink. Fields marked with (*) are mandatory and if not filled, the form is liable for rejection.

A. *UNITHOLDER INFORMATION* (If you have a CAN, please fill in the details):

- Common Account Number (CAN)
- OR
- Name of the First/Sole Holder

B. Key Partner / Agent Information (Investors applying under DIRECT plan must mention "DIRECT" in ARN Column):

- ARN Code
- ARN Name
- Sub-Agent ARN Code
- Internal code for Sub-Agent/IM/Branch
- EUIN

Transaction charges for Applications through Distributors only (Refer Instruction D and please tick ‘x’ any one):

- I confirm that I am a First Time Investor across Mutual Funds. (Charges as prescribed by SEBI)
- I confirm that I am an Existing Investor in Mutual Funds. (Charges as prescribed by SEBI, if any, deductible as Transaction Charge and payable to the Distributor)

In case the purchase/subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

In the event of any dispute, the Distributor’s decision will be final and binding.

C. *Payment Details*: (Please refer to the instructions "F6" for "DAY & NAME")

- Payment Mode (please tick ‘x’)
- Cheque
- Demand Draft
- Payorder / Banker’s Cheque
- NEFT
- RTGS
- Transfer Instruction

Please tick ‘x’ and enclose any one of the relevant documents as indicated as per the Mode of Payment

### AMC / Mutual Fund

**Specify AMC / Mutual Fund name**

**Folio Number**

**If available**

**Scheme / Plan**

specify scheme / plan

**Option**

specify scheme option

**Dividend Option (please tick one)**

**Payout**

**Reinvestment**

**Investment Amount**

\[ \text{Rupees} \]

\[ \text{In words:} \]

---

### AMC / Mutual Fund

**Specify AMC / Mutual Fund name**

**Folio Number**

**If available**

**Scheme / Plan**

specify scheme / plan

**Option**

specify scheme option

**Dividend Option (please tick one)**

**Payout**

**Reinvestment**

**Investment Amount**

\[ \text{Rupees} \]

\[ \text{In words:} \]

---

### AMC / Mutual Fund

**Specify AMC / Mutual Fund name**

**Folio Number**

**If available**

**Scheme / Plan**

specify scheme / plan

**Option**

specify scheme option

**Dividend Option (please tick one)**

**Payout**

**Reinvestment**

**Investment Amount**

\[ \text{Rupees} \]

\[ \text{In words:} \]

---

### E. Depository A/c Details:-

Please credit the units into below mentioned Depository Account already registered under the above CAN (please tick one)

- **National Securities Depository Limited (NSDL)**
- **Central Depository Services Limited (CDSL)**

**Depository Participant Name**

**DP ID**

**N**

**Benef. A/c**

**Specify Depository Participant name**

**Specify Beneficiary name**

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### F. * Declaration and Signature(s):-

I / We hereby confirm and declare as under:

1. I / We have read and understood the contents of the respective Scheme Information Document(s), Statement of Additional Information(s), Key Information Memorandum(s), Instructions and Addenda issued by the respective Mutual Funds, including level of risk depicted by colour code boxes and descriptions thereof (Product Labelling), the sections on "Prevention of Money Laundering and Know Your Customers" for all the schemes chosen for investments (both Continuous and Initial Offering) through this Transaction Form, and hereby apply to the Trustee/s of the respective Mutual Funds for Units of the relevant Scheme/s and agree to abide by the terms and conditions, rules and regulations of the Scheme.

2. I / We am/are eligible Investor/s as per the respective Scheme related documents and am/are authorised to make this investment as per the Constitutive documents / authorization/s. The amount invested in the respective Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, law, regulations, notifications or directions issued by any regulatory authority in India.

3. I / We will indemnify MFU, Mutual Fund, AMC, Trustee, RTA and other intermediares in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

4. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme/s is/are being recommended to me/us.

5. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED/COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

6. Where the EIN box is left blank being an execution only transaction, I / We confirm that the transaction is not witholding the advice of inappropriateness, if any, provided by the distributor’s employee/relationship manager/sales person and the distributor has not charged any fees on this transaction.

**Date**

\[ \text{DD/MM/YYYY} \]

**Place**

\[ \text{__________________________} \]

**Sign Here**

**Sign Here**

**Sign Here**

---

### Common Transaction Form (CTF)

<table>
<thead>
<tr>
<th>O</th>
<th>Form for multiple transactions</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>Time-stamp for multiple transactions</td>
</tr>
<tr>
<td>E</td>
<td>Payment Instrument for multiple investments</td>
</tr>
</tbody>
</table>

No need to fill and submit separate transaction forms for transactions in different schemes/Mutual Funds. Burden of handling multiple documents reduced.

Time-stamp applied uniformly for all transactions in the form. Burden of submitting transactions at different offices / locations reduced.

No need to issue separate payment instruments for different schemes/Mutual Funds. Burden of handling multiple payment instruments reduced.
TERMS AND CONDITIONS

A General Instructions:
1. The CAN Transaction form can be used for investments only by investors who already have a CAN registered with MFU or have submitted a CAN Registration form and are using the CAN transaction form for fresh investments.
2. If you do not have a CAN or have not submitted a CAN Registration form and wish to transact under existing folios, you will have to fill-up separate forms for investments in different Mutual Funds. CAN Transaction forms without CAN but with investments under different folios are liable for rejection.
3. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
4. Information marked [*] are mandatory. Under the respective sections, all relevant details should be provided, failing which the application is liable for rejection.
5. Please note: the information box for relevant options wherever applicable. Do not over-write. For any correction / changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes. Applications with any such changes not counter-signed by the applicants are liable for rejection.
6. Investors are further advised to comply with applicable Know Your Customer (KYC) requirements from time to time and failure to comply with this requirement may result in the purchase application being rejected.
7. Investors intending to invest through different distributors should use different CAN transaction forms.
8. Please read the Scheme Information Document(s), Key Information Memorandum(s) of the scheme(s) and Statement(s) of Additional Information of the respective Mutual Funds and attend to these documents correctly before investing.
9. Onboarding of US Persons/Canada residents will be subject to the rules and regulations of the respective AMCs and at their discretion. Investors are advised to check the respective AMC/Mutual Fund website and/or SID before investing.
10. The investors are advised to visit the website/s of the respective Mutual Fund/s for information on the schemes chosen for investment. Investors are advised to assess the suitability of the schemes and the applicable load structure before investing.
11. The Application Form number / CAN / Folio number should be written by the Investors on the reverse of the cheques and bank drafts accompanying the Application Form.
12. Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.
13. Applications complete in all respects, may be submitted at any of the “Points of Services” of MFU. For a list of authorised points of service of MFU, please visit our web site www.mfufindia.com.
14. Applications incomplete in any aspect are liable to be rejected. MFU / AMC / RTA shall have absolute discretion to reject any such Application Forms.
15. Applications received at any point of service of MFU would be time-stamped on receipt to evidence time of receipt of the application. An acknowledgement may be issued across the time of confirmation of the date and time of receipt of the Application.
16. Investors are advised to retain this acknowledgement slip till they receive a confirmation of processing of their transaction from the respective Mutual Fund/RTA.
17. MFU would, on your request, transmit your Purchase transaction to the respective Mutual Funds for processing, indicating the date and time of receipt of your request.
18. Subject to your request for Purchase being in order, each Mutual Fund to which you have placed a request, would process your Purchase. Else, the request is liable to be rejected either partially or fully.
19. Subject to applicable regulations and provisions in the Scheme Information Document of the scheme(s) including provisions related to availability of funds in the scheme for utilisation, the date and time stamp appearing on this form would be considered for determining applicable NAV for the transactions.
20. In case your investment application gets rejected either fully or partially on account of the same being incomplete in any respect or due to the investment being made in a scheme of a non-participating Mutual Fund, your investment amount subject to realization is liable to be refunded without interest.

B Existing Unit holder Information:
1. Investors/Unitholders already having a CAN with MFU should provide the CAN & Name of the Solo/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing CAN, the application form may be rejected, at the discretion of MFU.
2. If a CAN Registration Form (CRF) is submitted alongside with this CAN Transaction form (CTF) for fresh investments, please specify the PAN/PFRN of the Solo/Primary holder/Guardian and the CRF Application Number in the space provided.
3. If neither the CAN nor the PAN/PFRN or the CRF Application No is provided and if folio no is mentioned, the transaction shall be considered as a Folio specific transaction and shall be routed to the respective RTA servicing the Fund. In such cases, Investors will be able to transact only in the schemes of the same Mutual Fund under the same Folio.
4. If none of the above are available, then the transaction shall be liable for rejection.

C Investments through distributors:
1. As per directions of Securities and Exchange Board of India (SEBI), Investors can route their application forms directly and/or through the distributors /employees of the distributor who hold a valid certificate from the National Institute of Securities Markets (NISM) and ARN provided by Association of Mutual Funds in India (AMFI). Further, no agent /distributor are entitled to sell units of mutual funds unless registered with AMFI.
2. Employee Unique Identification Number (EUN):
Every employee/relationship manager / sales person of the distributor of mutual fund products should quote the EUN obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.
3. New cadre distributors:
Postal agents, retired government and semi-government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/ the AMC from time to time as new cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible schemes are available on the websites of the respective Mutual Funds). They also hold an EUN which must be quoted in the application form. In case your application for subscription through such distributor is not for an eligible scheme, it is liable to be rejected. These requirements do not apply to Overseas Distributors.
4. Overseas Distributors:
For, overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.
5. Direct Investments:
   a. Investors applying under Direct Plan(s) must mention “DIRECT” in ARN column.
   b. Where a valid application is received with the ARN column as blank the application will be processed under “Direct Plan(s)”, irrespective of plan mentioned.
   c. Where a valid application is received with an ARN code and the investment is in “Direct Plan” in any of the schemes, the application will be rejected.

D Transaction Charges:
1. In accordance with SEBI circular No. Cir/IMD/DF/133/2011 dated August 22, 2011, as amended from time to time the AMC/ the Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted-in to receive the Transaction Charges). The Distributor may opt to receive transaction charges based on the type of product. Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase / subscription of Rs. 10,000 and above are deductible from the purchase / subscription amount and payable to the Distributor. The balance amount shall be invested.
2. Transaction Charges shall not be deducted:
   a) where the Distributor of the investor has not opted to receive any Transaction Charges
   b) for purchases / subscriptions made directly with the Fund (i.e. not through any Distributor)
   c) First / Sole Applicant / Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose in the application form. The AMC / Fund will endeavor to identify the investor as “first time” or “existing” based on the Permanent Account Number (PAN)/PAN Exempt KYC Reference Number (PEKNR) at the First/Sole Applicant/ Guardian level. If the PAN/PEKNR details are available, then the First / Sole Applicant / Guardian will be treated as existing investor (i.e. Rs. 100 will be deducted as Transaction Charge) else the declaration in the application form will be considered (i.e. Rs. 150 for first time investors or Rs. 100 for other than first time investors will be deducted as Transaction Charge, as applicable). However, if an investor has not provided any declaration, he will be considered as an “existing” investor.
F Payment Details:

1 Bank Account
An investor at the time of his/her purchase of units must provide the details of his / her bank account (i.e. account from which the payment is being made) in the respective section in the Application Form.

2 Payments received from accounts which are not registered under the CAN/Folio or do not belong to the sole/primary holder and does not qualify under the validations for Third Party payment are liable for rejection.

3 For payments from accounts belonging to the sole/primary holder that are not registered under the CAN/Folio, the investor is required to submit any one of the following as a documentary proof along with the application form validating that bank account pertain to the sole / first Applicant.

(i) Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application OR Cancelled original cheque leaf of the bank account (where the account number and first applicant name is printed on the face of the cheque). Applicants should without fail cancel the cheque and write "Cancelled" on the face of it to prevent any possible misuse;

(ii) Photocopy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name and address of the first applicant and account number, duly attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number;

(iii) A letter from the bank on its letterhead duly signed by the bank manager with his / her full signature, name, employee code, bank seal and contact number, certifying that the applicant maintains an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

Please note the above documents shall be submitted in Original. If copies are furnished, the same must be submitted along with the Original documents at Points of Service (POS) or MFU where they will be verified with the original documents as per satisfaction of MFU. The original documents will be returned across the counter to the applicant after due verification. In case the original of any document is not produced for verification, the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

4 Physical payments may be made through a CTS cheque/bank draft drawn favouring “MFU ESCROW ACCOUNT” crossed “Account Payee” payable locally, at any of the cities where MFU has a point of service.

b. Electronic payments may be made through RTGS/NEFT/Transfer Instruction favouring the Beneficiary Name i.e. “MFU E-COLLECTION ESCROW ACCOUNT” and the bank acknowledged copy of the electronic instruction should be submitted along with the Transaction form. For other details like “BENEFICIARY ACCOUNT NUMBER” and “BENEFICIARY IFSC”, please contact your distributor or the nearest MFU Point of Service (POS) or visit our website www.mfurica.com or call us at 1800-266-1415 (Toll Free).

c. Outstation/Non-CTS cheques and physical payments not drawn favouring “MFU ESCROW ACCOUNT” and Electronic Payments not favouring “MFU E-COLLECTION ESCROW ACCOUNT” will not be accepted and application forms accompanied by such cheques may be rejected.

5 Please mention your CAN/Folio and Name on the reverse of the payment instrument/instruction.

6 NRIs, PIOs: In the case of NRIs/PIOs, payment may be made either by way of remittance through normal banking channels or out of funds held in the NRE / FCNR in the case of Purchases on a re-valuation basis or out of funds held in the NRE / FCNR / NRO account, in the case of Purchases on a non-re-valuation basis. In case Indian rupee drafts are purchased abroad or payments from FCNR or NRE accounts, an account credit certificate from the bank issuing the draft confirming the credit and/or foreign inward remittance certificate (IFLC) by investor’s banker shall also be enclosed.

Please furnish details of the payment including Payment Reference Number, Payment Date, Net Amount, DD/PO/BC commission charges, Gross Amount and the Source Account Details.

The DD / PO / BC commission charges incurred by you for making the payment will be proportionately distributed based on the investment amount in each scheme where you propose to make your investment, irrespective of the same being borne by the AMC.

9 Please refer the Scheme Information Document of the respective schemes for more details. If the DD/PO/BC commission charges are not borne by the AMC and your investment amount falls below the minimum subscription criteria due to the proportionately deducted charges, your purchase application/respective scheme investment is liable to be rejected by the respective AMC / RTA for the respective payment.

10 Please note that the total payment amount indicated including charges needs to be the aggregate of the investment amounts for the investment that you propose to make across various schemes.

F Third Party Payments:
Please note that subscriptions with third-party payments will not be accepted except from the following (and any other rules as defined under AMFI Best Practices Circular):

a) Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment);

b) Payment by Employer on behalf of employees under Systematic Investment Plan through payroll deductions;

c) Custodian on behalf of an FII / PFI or a client.

G Investment Details:

1 You can use this form to make purchases as below:

(a) If CAN based - Up to 5 schemes across various Mutual Funds (participating in MFU)

(b) If Folio based (without CAN) - Up to 5 schemes within the same Folio of a participating Mutual Fund

by making a single consolidated payment for your investments.

2 Your investments will be accepted subject to the minimum investment criteria applicable for the schemes opted for investment. Where the investment does not satisfy the minimum investment criteria, the respective transaction is liable for rejection.

3 Please specify the scheme name, plan and option in which you wish to make the investment. If the plan and option are not specified by you, the transaction is liable for rejection.

4 If any of the scheme/s you have chosen to invest belongs to non-participating Mutual Fund/s, the amount pertaining to that investment is liable to be refunded. If all the schemes belong to non-participating Mutual Fund/s, the entire application is liable for rejection.

H Units to be held in Depository Account:

1 If you have already registered your Depository Account details under the CAN and wish to hold the units created through this investment in that Account, please select (tick ‘x’) the Depository and mention the respective DP ID and Beneficiary account.

2 The units shall be credited to the Depository Account by the respective AMC / RTA subject to the scheme chosen for investments providing such a facility.

3 If the depository account mentioned by you is not already registered under the CAN, the details provided by you shall be considered as "Unregistered Depository Account" and will be suitably acted upon by the AMC / RTA.

4 If the depository account chosen by you for the current investment is found to be inactive, the units will not be credited to the Depository Account by the AMC / RTA.

5 If the scheme chosen for investment requires compulsory credit to a Depository Account and you have not registered a Depository account under the CAN or not provided during the investment, the application is liable to be rejected.

I Declaration and Signatures:

1 Signature(s) should be in English or in any Indian Language.

2 Thumb impressions should be from the left hand for males and the right hand for females and in all cases to be attested by a Magistrate or Notary Public under his/her official seal.

3 Applications on behalf of minors should be signed by their natural parent/legal Guardian.

4 In case of an application through a constituted Attorney, the application form should be signed by such constituted Attorney.

5 Applications signed by Authorized Signatories of a Non-individual investor should be affixed with the Official stamp.

Example:

**Common Complaint System (CCM)**

- **Place for Registration**: Complaints can be lodged at a single place for the entire Mutual Fund Industry. No need to write to different entities at different addresses for any complaints related to Mutual Fund Investments.

- **Place for Tracking**: Complaints lodged can be tracked at a single place. No need to wait for the responses from the entities to know the status of the complaint. The entire history of the complaints can be tracked online at one place.

- **Place for Redressal**: Complaints lodged can be redressed or reassigned to an appropriate authority for resolution. The resolution status can be updated instantly at one place.