1. Distributor / Broker Information

Name & Broker Code / ARN
Sub Agent Code
Sub Agent ARN Code
*Employee Unique Identification Number
RIA Code

2. Investor Details (Refer Instruction No.5 & 6 & 13)

FOLIO NO.

Name of First Applicant:
Name of Guardian (In case of Minor):
Name of Second Applicant:
Name of Third Applicant:

3. Unitholding Option -  Demat Mode  Physical Mode

These details are compulsory if the investor wishes to hold the units in DEMAT mode. Ref. Instruction No. 10.

4. Additional Purchase (Refer Instruction No.4.2 & 8) (If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme name)

Payment Mode: OTBM Facility (One Time Bank Mandate) Cheque DD Funds Transfer RTGS / NEFT Cash (Refer Instruction No. 14)
Cheque/DD/RTGS/NEFT/Cash Deposit Slip No.
Payment Date/Instrument Date/Cash Deposit Date:

5. Redemption (Refer Instruction No.4.3 & 4.4)

Reason for Redemption:
Emergency
Marriage
Buy House
Child’s education
Others

6. Switch (Refer Instruction No. 8) (If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme name)

Partial Switch
Full Switch

7. Contact Number (The contact details are required for Reference purpose only. Kindly note that the same will not be updated in your folio.)

Mobile No / Tel No.

8. Declaration

I/we would like to invest in Nippon India Mutual Fund subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. (We have read, understood before filling application form) and I/are bound by the details of the SAI, SID & KIM including details relating to various services including but not limited to Nippon India Any Time Money Card. (We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.) We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I/We accept and agree to be bound by the said Terms and Conditions including (those excluding) viz.a.viz the Nippon India Life Asset Management Limited (RNAM) liability. I understand that the RNAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I accept RNAM’s right to debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Applicable for NRI Investor: I/We confirm that I/We am resident of India. RNAM: We confirm that I/We am the non-Indian Nationality/Origin and we/they hereby confirm that the funds for subscription have been remitted through abroad normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

+/- (We have, invested in the Scheme(s) of your Mutual Fund under Direct Plan. We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI Registered Investment Adviser. I/We hereby authorize the representatives of Reliance Nippon Life Asset Management Ltd and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

ACKNOWLEDGMENT SLIP

(To be filled by the investor)

APP No:

Received from Mr./Mrs.

Additional Purchase  Redemption  Switch  Note: For NAV applicability, please refer scheme Information Document (SID) of the respective scheme in which you are investing.

Common Transaction Slip / 07th Oct 2019 / Ver 2.7
1. Please read the Scheme Information Document (SID) and Statement of Additional Information (SAIL) carefully before investing / switching to other schemes for risk factors & terms applicable to Schemes/Plans.

2. For additional purchase, the cheque / Demand Draft should be drawn in favour of the scheme name viz. Nippon India Mutual Fund and crossed / AV Payee and payable locally at the place of the ISC, where the application is being submitted. Outstation cheque/DD will not be accepted. If the name mentioned in the cheque/DD is different and on the cheque is different, then the units will be allotted as per the Scheme name mentioned in the application form.

3. In case of multiple holders, the dividend (where applicable) & redemption amount, will be paid to the first unit holder.

4. BANK DETAILS:
   1. As per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details, the application form will be rejected.
   2. Purchase Application through Cheque / DD / RTGS / NEFT / Funds transfer requests should necessarily mention the pay-in bank account details i.e. name bank, bank account number, branch used for issuing the payments to the fund. The first unit holder has to ensure that the subscription payment has to be made through his own bank account or through any of the bank account wherein he is one of the joint account holders. If this is not the case, the application will be rejected.
   3. FT / RTGS / NEFT request, demand draft etc given by the investor at the time of subscription then unit holder should attach necessary supporting documents as required for the fund. The fund has to verify the bank statement to prove that the funds are from a bank account held by the first unit holder only. If the documents are not submitted with the application the fund reserves the right to reject the application or call for additional details.
   4. One time Bank mandate (OTBM) facilitates the investor to register a debit mandate with his banker which will contain a pre defined upper limit for the amount to be debited from his bank account for every Purchase Transaction done. If the investor is minimum 18 years of age, he/she can sign the mandate. If the investor is below 18 years of age, his/her minor’s mother or the guardian, signing on behalf of the minor, as the case may be. For e.g. as per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details, the application form will be rejected.

5. NIMF offers facility to register multiple bank accounts in the folio and designate one of the bank accounts as "Default Bank account". Default bank account will be used for all dividend and redemption payments to the investors bank account directly. In case the direct credit is not affected by the unitholder’s banker for any reason then NIMF reserves the right to make the payment to the investor by a cheque / DD. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, NIMF will not be held responsible. Please provide the MICR Code/IFSC code and bank name on the cheque for us to help you in future E/C/NEC credit of dividend and redemption payout.

6. NIMF offers facility to register multiple bank accounts in the folio and designate one of the bank account as “Default Bank account”. Default bank account will be used for all dividend and redemption payments to the investors bank account directly. A new non - registered bank account specified in the application form will be credited into the default bank account. The payment will have to be credited into the default bank account and the beneficiary is also required to be submitted.

7. NIMF offers facility to register multiple bank accounts in the folio and designate one of the bank account as "Default Bank account". Default bank account will be used for all dividend and redemption payments to the investors bank account directly. A new non - registered bank account specified in the application form will be credited into the default bank account. The payment will have to be credited into the default bank account and the beneficiary is also required to be submitted.

8. Permanent Account Number (PAN)
   SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicant) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/her father or mother or the guardian, as the case may be. For further details investors are requested to refer to the Scheme name mentioned in the application form.

9. Prevention of Money Laundering and Know Your Client (KYC)
   In order to reduce hardship and help investors dealing with SEBI intermediaries, SEBI issued certain circulars - MIRSO/SE/Cir-2/21 dated October 05, 2011, MIRSO/DF/2/2011 dated June 01, 2011 and MIRSO/DF/1/2011 dated December 23, 2011 informing SEBI registered intermediaries as mentioned therein to follow, with effect from January 01, 2012, a uniform KYC compliance procedure for the new investors dealing with them on and after that date. SEBI also issued KYC Registration Agency ("KRA") Regulations 2011 and the guidelines in pursuance of the said Regulations and for In-Person Verification ("IPV").

In case of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days. The application form cannot be processed for applying KYC compliant to the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan. From the said date, if the KYC status at NIPMF is not captured/verified by CVL/KRA and the KYC status is changed to 'Verified by CVL KRA' after due verification. In such a scenario, where the KYC changes to 'Verified by CVL KRA', investors need not submit the ‘missing/not available’ KYC information to mutual funds again.

Investors are required to clearly indicate the plans/options in the application form of the scheme.

<table>
<thead>
<tr>
<th>Sr</th>
<th>Broker Code mentioned by the Investor</th>
<th>Plan mentioned by the Investor</th>
<th>Default Plan to be captured</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Not mentioned</td>
<td>Not mentioned</td>
<td>Direct Plan</td>
</tr>
<tr>
<td>2</td>
<td>Not mentioned</td>
<td>Regular Plan/Other than Direct Plan</td>
<td>Direct Plan</td>
</tr>
<tr>
<td>3</td>
<td>Not mentioned</td>
<td>Regular Plan/Other than Direct Plan</td>
<td>Direct Plan</td>
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<tr>
<td>4</td>
<td>Not mentioned</td>
<td>Regular Plan/Other than Direct Plan</td>
<td>Direct Plan</td>
</tr>
<tr>
<td>5</td>
<td>Not mentioned</td>
<td>Regular Plan/Other than Direct Plan</td>
<td>Direct Plan</td>
</tr>
</tbody>
</table>

In case of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days. The application form cannot be processed. The investor will have to get the ARN code validated from the distributor/Dealer (similar arrangement with principal agent relationship), on account of commission/Incentive payable for sale of its goods/services, in the form of payment is being made and the relationship with the beneficiary is also required to be submitted.

For Right Direct Investment: Please mention "Direct in the Column "Name & Broker Code". Investors are required to clearly indicate the plans/options in the application form of the scheme.

1. In accordance with SEBI Circular No. IMD/DF/13/2011 dated August 22, 2011, with effect from November 01, 2011, Reliance Nippon Life Asset Management Limited (RNALM) is required to deduct a Transaction Charge on per purchase / subscription / lump sum / one-time/ lump sum / one time subscription plan. The Transaction Charge applicable to Nippon India Mutual Fund i.e not opened a folio earlier, and wishes to invest on or after December 01, 2012, such investors will be required to submit 'missing/not available' KYC information again. In such a scenario, a one-time requirement and needs to be completed with any of the mutual funds i.e. need not be done with all the mutual funds where investors have existing investment. Since the KYC status at NIPMF is not changed to 'Verified by CVL KRA' after due verification. In such a scenario, where the KYC status changes to 'Verified by CVL KRA', investors need not submit the ‘missing/not available’ KYC information to mutual funds again.

1. In accordance with SEBI Circular No. IMD/DF/13/2011 dated August 22, 2011, with effect from November 01, 2011, Reliance Nippon Life Asset Management Limited (RNALM) is required to deduct a Transaction Charge on per purchase / subscription / lump sum / one-time/ lump sum / one time subscription plan. The Transaction Charge applicable to Nippon India Mutual Fund i.e not opened a folio earlier, and wishes to invest on or after December 01, 2012, such investors will be required to submit 'missing/not available' KYC information again. In such a scenario, a one-time requirement and needs to be completed with any of the mutual funds i.e. need not be done with all the mutual funds where investors have existing investment. Since the KYC status at NIPMF is not changed to 'Verified by CVL KRA' after due verification. In such a scenario, where the KYC status changes to 'Verified by CVL KRA', investors need not submit the ‘missing/not available’ KYC information to mutual funds again.
• For the new investor a transaction charge of ₹150/- shall be levied for per purchase / subscription of ₹10,000 and above; and
• For the existing investor a transaction charge of ₹100/- shall be levied for per purchase / subscription of ₹10,000 and above.

The transaction charge shall be deducted from the subscription amount and paid to the distributor/agent, as the case may be and the balance shall be invested. The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment.

In case of investments through Systematic Investment Plan (SIP) the transaction charges shall be deducted only if the total commitment through SIP (i.e. amount per SIP installment x No. of installments) amounts to ₹ 10,000/- and above. In such cases, the transaction charges shall be deducted in 3-4 installments.

Transaction charges shall not be deducted if:

(a) The amount per purchases/subscriptions is less than ₹10,000/-
(b) The transaction pertains to other than purchases/subscriptions relating to new inflows such as Switch/STP/DTP, etc.
(c) Purchases/Subscriptions made directly with the Fund through any mode (i.e. not through any distributor/agent).
(d) Subscription made through Exchange Platform irrespective of investment amount.

2) RNAM will endeavor to check if the investor is an existing or a new investor to Mutual Fund. In case the investor is found to be an existing investor then transaction charges of ₹ 100/- will be applicable else the investor declaration will be considered and transaction charges will be levied accordingly.

3) If the investor has not ticked / not signed the declaration then by default investor will be treated as an existing investor and transaction charges of ₹ 100/- will be deducted for investments of ₹10000 and above.

10. Units held in the dematerialized form

With effect from October 1, 2011, in accordance with SEBI Circular No. IMD/D/9/2011 dated May 19, 2011, an option to subscribe/hold the units of the Scheme(s)/Plan(s) of NIMF in dematerialized (demat) form is being provided to the investors in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) / Stock Exchanges (NSE / BSE) from time to time. For further details investors are requested to refer SAI.

11. As per SEBI circular Cir/IMD/D/21/2012 dated September 13, 2012, a unique identity number of the employee / relationship manager/ sales person of the distributors interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor is required to be mentioned in the application form.

12. Employee Unique Identification Number (EUN) would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor.

13. In line with SEBI letter no. OW/16541/2012 dated July 24, 2012 addressed to AMFI, investments in the mutual fund schemes (including investments through Systematic Investment Plans (SIPs)) up to ₹ 50,000/- per investor per year shall be exempted from the requirement of PAN. Investor seeking exemption of PAN will need to submit the PAN Exempt KYC Reference No (PEKRIN) acknowledgment issued by KRA along with the application Form. This exemption is applicable only for individuals including NRIs, minors acting through guardian, Sole proprietorship firms and joint holders. In case of joint holders, first holder must not possess a PAN. Other categories of investors e.g. PIOs, HUFs, QIFs, non - individuals, etc. are not eligible for such exemption.


The Details / Process and conditions for acceptance of such investments are as follows:

Eligible investors: The facility is available only for below mentioned category of investors who are KRA-KYC compliant and have a bank account:

i) Resident Individuals.
ii) Minors (Investing through Guardian)
iii) Sole Proprietorships.

Subscription Limit: In line with the SEBI guidelines, currently subscription through cash can be accepted only upto ₹ 50,000/- per investor, per financial year. Limit would be tracked on the basis PAN or PEKRIN issued by KRA.

Mode of Acceptance of Application: Applications for Subscription through Cash shall be accepted only in the physical form at any of the Designated Investor Service Centers (DISC) of NIMF.

Cash Collection Facility: NIMF has made arrangement with Axis Bank Limited to accept cash (along with the duly filled in Cash Deposit Slip) on behalf of NIMF. The Bank shall act only as an aggregator for receipt of cash at the various Bank branches towards subscriptions under various schemes of NIMF. The Bank would be remitting the cash collected to the Fund’s schemes usually by the next business day.

Procedure for Subscription through Cash: Investors willing to subscribe through cash as a payment mode will have to follow the below procedure:

1. Collect the application form and Cash Deposit Slip (available in triplicate) from the Designated Investor Service Centre (DISC) of NIMF.
2. Investor must first submit the duly filled in application form, KYC / KRA acknowledgment and duly filled Cash Deposit Slip at the DISC (copy for submission to NIMF / RNAM).
3. Branch executive shall time stamp the application form, NIMF copy of Cash deposit slip and acknowledgment portion available in the application form. Acknowledgment portion shall be returned to the investor as a confirmation of receipt of application.
4. Investor will have to visit the nearest branch of Axis Bank Limited and deposit cash by using the Cash Deposit Slip collected from DISC, on the same day or latest by next business day. Else the application shall be liable for rejection.
5. Axis Bank Limited shall retain bank copy of the Cash Deposit slip and provide customer copy to the investor along with the acknowledgment of cash deposition.

NAV Applicability:
For Liquid scheme(s): Applicability of NAV shall be based on receipt of application and also the realization of funds in the Bank account of respective liquid scheme (and NOT the time of deposit of Cash in the Bank) within the applicable cut-off timing. However, if the credit is received in the Bank account of liquid scheme but investor has not yet submitted the application form, units will be allotted as per receipt of application (time-stamping).

For all scheme(s) other than liquid scheme(s): Applicability of NAV shall be based on receipt of application (as per time-stamping).

Rejection of application: Application shall be rejected if:

a) Subscription Limit is Exhausted: The amount of subscription through cash (including the subscriptions made through cash during the financial year) exceeds ₹ 50,000/-.

b) Application is incomplete: Unit allotment for transactions accepted as DISCs of NIMF is subject to verification at the time of final processing. Application shall be liable for rejection if the same is found to be incomplete in any aspect.

Payment of Proceeds: Payment in the form of refunds, redemptions, dividend, etc. with respect to Cash investments shall be paid only through banking channel i.e. in the bank account registered in the folio.

Other important points: a) In case of mismatch in the amount mentioned in application form and cash deposited in bank, units shall be allotted as per credit received from bank. b) Cash deposited but application not submitted: if cash is deposited directly at branch of Axis Bank Limited and application is not submitted at DISC of NIMF, amount shall be refunded to investor based on receipt of following documents:

i) Existing Investor: Request letter, Bank acknowledged deposit slip copy.

ii) New Investor: Request letter containing the bank details in which the refund needs to be issued, bank acknowledged deposit slip copy and PAN card copy or any other valid id proof.

Investors are requested to note that subscription through this mode shall be accepted subject to compliance with Prevention of Money Laundering Act, 2002 and Rules framed thereunder, SEBI Guidelines for the same and such other AML rules, regulations and guidelines as may be applicable from time to time.

15. In case there is any change in your KYC information please update the same by using the prescribed ‘KYC Change Request form’ and submit the same at the Point of Service of any KYC Registration Agency.