**MF Utilities India Pvt. Ltd.**
103-105, Orion Business Park, Ghodbunder Road, Kapurbawadi,
Thane (West) - 400 607

**APPLICATION NO:**

**GORN:** Distributor / MFU user to write the system generated reference number here

**CIN : U74120MH2013PTC242939**

**FOLIO BASED TRANSACTION FORM - SWITCH**

Please read all the instructions carefully before filling the form
Please fill in ENGLISH and in BLOCK LETTERS with black ink
Fields marked with (*) are mandatory and if not filled, the form is liable for rejection

### A. *UNITHOLDER INFORMATION* (Please mention your existing FOLIO):-

<table>
<thead>
<tr>
<th>Existing Folio Number</th>
<th>AMC / MUTUAL FUND</th>
<th>specify AMC / Mutual Fund name</th>
</tr>
</thead>
</table>

Name of the First/Sole Holder

### B. Key Partner / Agent information (Investors applying under DIRECT plan/s must mention "DIRECT" in ARN Column):

<table>
<thead>
<tr>
<th>ARN Code</th>
<th>ARN Name</th>
<th>Sub-Agent ARN Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Internal code for Sub-Agent/RM/Branch**

**EUIIN**

* EUIIN Declaration (only where EUIIN box is left blank) (Refer Instruction 82)

We hereby confirm that the EUIIN box has been intentionally left blank by us as this transaction is executed without any interaction or advice by the employees/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employees/relationship manager/sales person of the distributor/sub broker

Sole/First Applicant / Guardian / POA Holder  
Second Applicant  
Third Applicant

### C. *Switch Details:*

<table>
<thead>
<tr>
<th>Tranche 1</th>
<th>Scheme Details</th>
<th>Source Scheme Details</th>
<th>Target Scheme Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheme / Plan / Option</td>
<td>specify source scheme / plan / option</td>
<td>specify target scheme / plan / option</td>
<td></td>
</tr>
</tbody>
</table>

**Dividend Option** (please tick one)

**Switch**

<table>
<thead>
<tr>
<th>Specific Units</th>
<th>specify units</th>
<th>(OR) Specific Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>₹</td>
</tr>
</tbody>
</table>

**Reinvestment**

**Payout**

<table>
<thead>
<tr>
<th>(OR) All Units (please tick one)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Tranche 2</th>
<th>Scheme Details</th>
<th>Source Scheme Details</th>
<th>Target Scheme Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheme / Plan / Option</td>
<td>specify source scheme / plan / option</td>
<td>specify target scheme / plan / option</td>
<td></td>
</tr>
</tbody>
</table>

**Unsecured/Secured (please tick one)**

**Switch**

<table>
<thead>
<tr>
<th>Specific Units</th>
<th>specify units</th>
<th>(OR) Specific Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>₹</td>
</tr>
</tbody>
</table>

**Reinvestment**

**Payout**

<table>
<thead>
<tr>
<th>(OR) All Units (please tick one)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Tranche 3</th>
<th>Scheme Details</th>
<th>Source Scheme Details</th>
<th>Target Scheme Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheme / Plan / Option</td>
<td>specify source scheme / plan / option</td>
<td>specify target scheme / plan / option</td>
<td></td>
</tr>
</tbody>
</table>

**Dividend Option** (please tick one)

**Switch**

<table>
<thead>
<tr>
<th>Specific Units</th>
<th>specify units</th>
<th>(OR) Specific Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>₹</td>
</tr>
</tbody>
</table>

**Reinvestment**

**Payout**

<table>
<thead>
<tr>
<th>(OR) All Units (please tick one)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Tranche 4</th>
<th>Scheme Details</th>
<th>Source Scheme Details</th>
<th>Target Scheme Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheme / Plan / Option</td>
<td>specify source scheme / plan / option</td>
<td>specify target scheme / plan / option</td>
<td></td>
</tr>
</tbody>
</table>

**Dividend Option** (please tick one)

**Switch**

<table>
<thead>
<tr>
<th>Specific Units</th>
<th>specify units</th>
<th>(OR) Specific Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>₹</td>
</tr>
</tbody>
</table>

**Reinvestment**

**Payout**

<table>
<thead>
<tr>
<th>(OR) All Units (please tick one)</th>
</tr>
</thead>
</table>

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**ACKNOWLEDGEMENT SLIP** (to be filled in by the investor). For any queries please contact the nearest MFU “Point of Service” or call us at 1800-266-3415 (Toll Free) or +91 22 3952 6363.

MF UTILITIES INDIA PVT. LTD., Address: 103-105, 1st Floor, Orion Business Park, Ghodbunder Road, Kapurbawadi, Thane (West) - 400 607, India

Received from Mr. / Ms. / M/s, an application for switch is per details mentioned below:

<table>
<thead>
<tr>
<th>AMC / Fund</th>
<th>From Scheme / Plan / Option</th>
<th>To Scheme / Plan / Option</th>
<th>Units/Amount</th>
</tr>
</thead>
</table>

**POINT OF SERVICE STAMP & SIGNATURE**
D. *Declaration and Signature(s):*

1. We hereby confirm and declare as under:
   (i) We have carefully read and understood the contents of the Scheme Document(s), Statement of Additional Information(s), Key Information Memorandum(s), Instructions and Addenda issued by the respective Mutual Funds, including (if need be) complex and/or confusing language and have still decided to opt for the Scheme(s) and agree to abide by the terms and conditions, rules and regulations of the Scheme(s).
   (ii) We are eligible investor(s) as per the respective Scheme related documents and are/are authorised to make this switch in as per the Constitutive documents / Authorizations.
   (iii) We are not of the belief that we have not been offered/commenced any indicative portfolio and/or any indicative yield by the fund manager/fund distributor for this investment.
   (iv) We understand that the fund has been authorized to make one switch in as per the Constitutive documents / Authorizations.
   (v) We hereby authorize the fund manager/fund distributor to effect the switch in as per the Constitutive documents / Authorizations.
   (vi) We understand that we have not been offered/commenced any indicative portfolio and/or any indicative yield by the fund manager/fund distributor for this investment.
   (vii) We are not of the belief that we have not been offered/commenced any indicative portfolio and/or any indicative yield by the fund manager/fund distributor for this investment.
   (viii) We hereby authorize the fund manager/fund distributor to effect the switch in as per the Constitutive documents / Authorizations.
   (ix) We understand that the fund has been authorized to make one switch in as per the Constitutive documents / Authorizations.
   (x) We hereby authorize the fund manager/fund distributor to effect the switch in as per the Constitutive documents / Authorizations.

2. Date:

3. Place:

4. Signature:

   [Signature]

5. Name:

   [Name]

6. Date:

   [Date]

7. Address:

   [Address]

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A. **General Instructions:**

1. The round/tranche-based switch transaction term can be used for switches only by investors who own units in a scheme registered with us.
2. If you are a CA, you will have to fill-up the CA Requirement Form (CRF) which will enable you to transact in schemes across Mutual Funds. Folio-based transaction forms, if used to transact in different schemes across Mutual Funds & Folio combination are liable for rejection.
3. Switch requests will not be accepted for units held in the depositary account.
4. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
5. Information marked (*) are mandatory. Under the respective sections, all relevant details should be provided, failing which the application is liable for rejection.
6. Investors/holders should provide the Folio No. & Name of the Sole/Primary holder. In case the name as provided in the application does not correspond with the name appearing in the existing Folio, the application form may be rejected, at the discretion of MFU.
7. For any changes in the Folio No. Name of the Sole/Primary holder are not mentioned, the transaction shall be liable for rejection.
8. MFU will verify the folio provided in the form with the respective AMF/RTA for availability of the such a foil in their records. If the AMF/RTA confirms the availability of the folio, the transaction will be captured and sent to the AMC/RTA for further processing. If the AMF/RTA does not confirm the availability of the Folio or the investor name as provided by the RTA does not match what is written in the form, the application is liable to be rejected by MFU / AMC / RTA.
9. The account holder name will be updated to the Folio No. of the RA/AMF in the AMC/RTA Form (CRF) of MFU, for a list of authorized points of service of MFU, please visit our website www MFUIndia.com.
10. Applications incomplete in any respect are liable to be rejected. MFU / AMC / RTA shall have absolute discretion to reject any such Application Forms.
11. Applications received at any point of service of MFU will be time-stamped on receipt of evidence of receipt of the application. An acknowledgment may be issued across the counter confirming the date and time of receipt of the Application.
12. Investors are advised to retain this acknowledgement slip till they receive a confirmation of the processing of their respective Mutual Fund Request.
13. MFU would, based on your request, transact the switch to the respective Mutual Funds for you, including the date and time of receipt of your switch request.
14. Subject to applicable regulations and provisions in the Scheme Information Document of the scheme(s) including provisions related to availability of funds in the scheme for utilisation, the date and time-stamp appearing on this form would be considered for determining applicable NAV for the transactions.
15. Subject to your request for switch being in order, the Mutual Fund to which you have placed a switch request, will process your switch request at the applicable NAV based on the date and time of submission of your switch request to us.
16. The switch request will be processed subject to detonation of all KYC, CFT & P&I (if applicable) and the switch into the target scheme would be processed at the applicable NAV of the target scheme based on applicable regulations and provisions in the Scheme Information Document of the target scheme related to availability of funds in the target scheme for utilisation.

B. **Terms and Conditions:**

1. All directions of Securities and Exchange Board of India (SEBI) can apply in their application forms directly and we follow/through the distributors/employees of the distributor who had a valid certification from the National Institute of Securities Markets (NISM) and APIF and Associated of Professional Mutual Funds in India (APIF). Further, no agents / distributors are entitled to sell units of mutual funds unless registered with AMFI.
2. Employee Unique Identification Number (EUID):
   - Every employee's/relationship manager's/sales person of the distributor of mutual fund products to quote the EUID obtained by her/him from AMFI in the Application Form. Investors are requested to verify the EUIF registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/sales person/relationship manager of the distributor/sub-broker with respect to the transaction and EUID box is left blank, you are requested to provide the same in order to avoid any inconvenience.
3. New cascade distributors:
   - Postal agents, retired government and semi government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) are not eligible to be appointed as AMC/RTA for MFU (and MFU's sub-brokers). They may apply to MFU with their EUID number quoted in the application form. In case any application for a switch through such distributors is not for an eligible scheme, it is liable to be rejected. These requirements do not apply to Overseas Distributors.

C. **Switching Through Distributors:**

1. At any directions of Securities and Exchange Board of India (SEBI), investors can route their application forms directly, and we follow our distributors/employees of the distributor who had a valid certification from the National Institute of Securities Markets (NISM) and APIF and Associated of Professional Mutual Funds in India (APIF). Further, no agents / distributors are entitled to sell units of mutual funds unless registered with AMFI.
2. Employee Unique Identification Number (EUID):
   - Every employee's/relationship manager's/sales person of the distributor of mutual fund products to quote the EUID obtained by her/him from AMFI in the Application Form. Investors are requested to verify the EUIF registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/sales person/relationship manager of the distributor/sub-broker with respect to the transaction and EUID box is left blank, you are requested to provide the same in order to avoid any inconvenience.
3. New cascade distributors:
   - Postal agents, retired government and semi government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) are not eligible to be appointed as AMC/RTA for MFU (and MFU’s sub-brokers). They may apply to MFU with their EUID number quoted in the application form. In case any application for a switch through such distributors is not for an eligible scheme, it is liable to be rejected. These requirements do not apply to Overseas Distributors.

D. **Reference Number for all Mutual Fund Investments:**

1. No need to remember / maintain folios at the Mutual Fund.

E. **Account Opening Process:**

1. No need to fill separate Account Opening forms for each Mutual Fund.

F. **Place for Investor Information:**

1. No need to submit change requests to each Mutual Fund to get changes updated to investor information.

G. **View for Investments:**

1. Consolidated view of all investments across Mutual Funds.

H. **MIS for the Industry:**

1. No need to source or compare data from different Mutual Funds / RTA.

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**Common Account Number (CAN):**