1. DISTRIBUTOR / BROKER INFORMATION

<table>
<thead>
<tr>
<th>Name of Broker Code / ARN</th>
<th>Sub Broker / Sub Agent ARN Code</th>
<th>Employee Unique Identification Number</th>
<th>Sub Broker / Sub Agent Code</th>
<th>RIA Code*</th>
</tr>
</thead>
</table>

*Please sign below in case the EINU is left blank/not provided. (We hereby confirm that the EINU box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE

First / Sole Applicant / Guardian

Second Applicant / Authorized Signatory

Third Applicant / Authorized Signatory

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor’s assessment of various factors including the service rendered by the distributor.

2. EXISTING UNIT HOLDER INFORMATION (Refer Instruction 6)

New investors are required to fill up Common Application Form. For investing in Transferor (Liquid/Debt) Scheme along with Enrolment form of Nippon India SMART STeP.

3. PARTICULARS OF APPLICANT

Name of First / Sole applicant: [Please tick (    )] Mr. Ms. M/s.

Name of Guardian: Mr. Ms. M/s.

Name of Second Applicant [Please tick (    )]: Mr. Ms.

Name of Third Applicant [Please tick (    )]: Mr. Ms.

4. TRANSFEROR / TRANSFERRER SCHEME

(Refer Instruction No. 10) (If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme name)

5. FREQUENCY & TENURE (Refer Instruction No. 13)

Frequency: Monthly (10th of every month)

Enrolment Period:

<table>
<thead>
<tr>
<th>Frequency</th>
<th>From M M Y Y</th>
<th>No. of Years</th>
<th>(Minimum 1 year and in multiples of 1 years thereafter)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>₹ 500</td>
<td>₹ 500</td>
<td>₹ 1500</td>
</tr>
<tr>
<td>Medium</td>
<td>₹ 1000</td>
<td>₹ 3000</td>
<td>₹ 12000</td>
</tr>
<tr>
<td>High</td>
<td>₹ 1500</td>
<td>₹ 4500</td>
<td>₹ 16000</td>
</tr>
</tbody>
</table>

6. PLAN (Refer Instruction No. 11)

Plan | Plan A | Plan B | Plan C | Plan D | Plan E (Refer Instruction No. 19)

Low | ₹ 500 | ₹ 1500 | ₹ 8000 | ₹ 15000 | ₹ _________ (Minimum ₹ 30,000 & in multiples of ₹ 500/- thereafter)

Medium | ₹ 1000 | ₹ 3000 | ₹ 12000 | ₹ 22500 | ₹ 3000 | ₹ 2X

High | ₹ 1500 | ₹ 4500 | ₹ 16000 | ₹ 3000 | ₹ 2X |

7. DECLARATION & SIGNATURE/S

(We would like to opt for Nippon India SMART STeP in the schemes mentioned on the application form subject to terms of the Statement of Additional Information (SAI) and Scheme Information Document (SID) and subsequent amendments thereto. We have read, understood (before filling application form) and declare to the details of the SAI and SID of the Transferor (Liquid/Debt) Scheme and Transferee (Equity) Scheme. We have not received nor been induced by any advertisement, directly or indirectly, in making this investment. I/We hereby confirm that the Nippon India Asset Management Limited may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete.

I/We confirm that I am / We are a resident of India.

I/We confirm that I am / We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this Folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

++ We have invested in the Scheme(s) of your Mutual Fund under Direct Plan. We hereby give you my/our consent to share the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

| First / Sole Applicant / Guardian Authorised Signatory | Second Applicant Authorised Signatory | Third Applicant Authorised Signatory |

FOLIO NO.

Received from Nippon India SMART STeP PLAN application

Amount of Transfer as per Plan A Plan B Plan C Plan D Plan E

From Scheme / Plan / Option to Scheme / Plan / Option

ACKNOWLEDGMENT RECEIPT OF NIPPON INDIA SMART STeP PLAN ENROLMENT FORM

(To be filled by the Unit holder)

APP No.:

Stamp of receiving branch & Signature

Printed Name: Nippon India SMART STeP PLAN application
1. Investors are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Prospectus of the Trust (Nippon India Mutual Fund) before investing. This document is not available for Exchange Traded Schemes, Interval Schemes & Close Ended Schemes of Nippon India Mutual Fund.

2. Nippon India SMART STEP can be opted by all the investors. Investors should refer section “Who can use Nippon India SMART STEP” for details.

3. New Investors are required to fill up Common Application Form for investing in Transferor (Liquid/Debt) Scheme along with Enrolment form of Nippon India SMART STEP.

4. Existing investors in Transferor (Liquid/Debt) Scheme are required to provide their Folio No. and submit only Application form for Nippon India SMART STEP.

5. Investors are required to submit separate application form for different schemes.

6. Investors should note that investors’ details and mode of holding (single, joint, anyone or spouse) in the Transferor (Liquid/Debt) scheme will be as per the existing folio details of the Transferor (Liquid/Debt) Scheme. Units will be allotted under the same folio number.

7. For Direct Investments, please mention “Direct” in the column “Name & Broker Code/ARIN”.

8. Investors are required to clearly indicate the plans/options in the application form of the scheme.

In cases of wrong/invalid/incomplete ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

9. In case of wrong/invalid/incomplete ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

10. Transferor (Liquid/Debt) schemes and / or Transferee (Equity) Schemes. However, any imposition or enhancement in the load or other charges payable on prospective investments only.

11. Please note that in case of the incorrect ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

12. In case of the incorrect ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

13. Application shall be submitted at least fifteen calendar days before the commencement of first investment. Please note that if no Transferor (Liquid/Debt) Scheme is mentioned in the application, the application will be liable to be rejected.

14. In case of wrong/invalid/incomplete ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

15. Eligible Transferee (Equity) Schemes for Nippon India SMART STEP are All Eligible Open Ended (Equity) Schemes.

16. Eligible Transferee (Equity) Schemes for Nippon India SMART STEP are All Eligible Open Ended (Equity) Schemes.

17. Investors may please note that Nippon India SMART STEP is a new offering under special product category of the respective Transferor (Liquid/Debt) Scheme and is different from the existing STP being offered by NIFM. NIFM reserves the right to change the load structure of Transferor (Liquid/Debt) Schemes and / or Transferee (Equity) Schemes. However, any imposition or enhancement in the load or other charges payable on prospective investments only.

18. Communication for the investors:

If the investor(s) has/have provided his/his/her email address in the application form or any subsequent communication in any of the folios belonging to the investor(s), NIFM / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communications which include account statements for transactions done by the investor(s).

21. In cases of wrong/invalid/incomplete ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

(i) On acceptance of the application, a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holder(s) registered e-mail address and/or mobile number.

(ii) Thereafter, a Consolidated Account Statement (CAS) shall be issued for each calendar month on or before 10th of the immediately succeeding month. Incase 10th is a non-transaction day, the amount shall be transferred in the Unit holder(s) bank account in the next working day.

(iii) Nippon India SMART STEP shall be rejected.

(iv) In cases of wrong/invalid/incomplete ARN codes mentioned in the application form, the application shall be rejected. Please ensure that the correct ARN code is mentioned within 5 working days from the date of receipt of such request. Investors Service Center/Registrar & Transfer Agent shall not be responsible nor liable for any damages/compensation arising out of such rejection.

30. Investor will not hold Nippon India Mutual Fund/Reliance Nippon Life Asset Management Limited’s registrars and other service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the STP date due to variances in clearing cycles of Autodebit/ECS/Local Holidays.

31. Nippon India Mutual Fund/Reliance Nippon Life Asset Management Limited’s registrars and other service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the STP date due to variances in clearing cycles of Autodebit/ECS/Local Holidays.

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42. Investor will not hold Nippon India Mutual Fund/Reliance Nippon Life Asset Management Limited’s registrars and other service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the STP date due to variances in clearing cycles of Autodebit/ECS/Local Holidays.

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