Please read the instructions carefully before filling up the application.

**REALLOCATION OF NIPPON INDIA PSIP IN FAST**

(Use this form if One Time Bank Mandate Form is registered in the folio)

**DISTRIBUTOR / BROKER INFORMATION**

<table>
<thead>
<tr>
<th>Name &amp; Broker Code / ARN</th>
<th>Sub Agent ARN Code</th>
<th>Sub Agent Code</th>
<th><em>Employee Unique Identification Number</em></th>
<th>RIA Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>ARN-</td>
<td>ARN-</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Please sign alongside in case the EUN is left blank/not provided. I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**SIGN HERE**

First / Sole Applicant / Guardian / Authorised Signatory

Second Applicant / Authorised Signatory

Third Applicant / Authorised Signatory

**2. EXISTING FAST FOLIO NO.**

(If you have an existing folio number with KYC validated, please mention the number here. Mode of holding will be as per existing folio number.)

[Please tick (-) any one]

I am a First time investor across Mutual Funds OR

I am an existing investor in Mutual Funds

**3. APPLICANT DETAILS**

Name of Sole/1st holder

Mr./Ms.

Name of 2nd holder

Name of 3rd holder

Mr./Ms.

**4. EXISTING PORTFOLIO DETAILS**

<table>
<thead>
<tr>
<th>Scheme Name</th>
<th>Asset Allocation (%) (Total Asset allocation should be 100%)</th>
<th>Asset Allocation (%) (Total Asset allocation should equal total amount invested)</th>
</tr>
</thead>
</table>

Total

**5. NEW PORTFOLIO DETAILS**

(Please fill the required scheme names in which you wish to allocate your portfolio) Please refer last page of the application for product labeling

<table>
<thead>
<tr>
<th>Scheme Name</th>
<th>Asset Allocation (%) (Total Asset allocation should be 100%)</th>
<th>Asset Allocation (%) (Total Asset allocation should equal total amount invested)</th>
</tr>
</thead>
</table>

Minimum Amount as per scheme

Minimum Amount as per scheme

Total

**Note:**

1) Reallocation request can be executed only once in a year i.e either after one year from first installment in Nippon India PSIP or after one year from previous reallocation date.

2) Reallocation is available in the “Recommended Portfolio” as well as in “Investor’s Choice” option.

3) Reallocation feature will be available at scheme level in terms of change in amount or change of scheme.

4) Reallocation request has to be submitted at least 10 business days prior to the next due date of Nippon India PSIP transaction.

5) The scheme features of existing schemes and proposed schemes shall apply for executing the allocation of SIP investments in such schemes and it shall be deemed as "New Nippon India PSIP Registration" under Investors’ choice option.

**6. DECLARATION & SIGNATURE/S**

Note:

1) The % allocation to various asset classes (Equity, Debt or Gold) will be considered at the time of executing the Switch / STP transactions on the switch date only.

2) Fresh / additional subscriptions / switch-ins will not be allowed / accepted at any point of time till further notice, from the effective date 26th March 2018 in Nippon India Small Cap Fund.

3) Investors are requested to ensure that the allocation in Nippon India Tax Saver ELSS Fund through Investor Choice Option should be minimum ₹ 500 and in multiples of ₹ 500.

I/We confirm that I am resident of India.

I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I/We have not received nor been induced by any rebate or gift of any mode or any other mode of payment as introduced by RNAM from time to time. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I/We have not received nor been induced by any rebate or gift of any mode or any other mode of payment as introduced by RNAM from time to time. I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds or any Statutory Authority. I/We have not received nor been induced by any rebate or gift of any mode or any other mode of payment as introduced by RNAM from time to time. I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds or any Statutory Authority. I/We have not received nor been induced by any rebate or gift of any mode or any other mode of payment as introduced by RNAM from time to time. I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds or any Statutory Authority.

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External (NRE)/Ordinary Account (FCNR) Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through normal banking channels or from funds in my/our NRE/FCNR Account.

++ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

**SIGN HERE**

First / Sole Applicant / Guardian / Authorised Signatory

Second Applicant / Authorised Signatory

Third Applicant / Authorised Signatory

**ACKNOWLEDGEMENT RECEIPT**

To be filled in by the unit holder

APP No.:
1. In order to provide flexibility, an investor investing through Nippon India can have an option to modify the selected scheme or the periodic investment amount in the scheme of the Nippon India wherein the SIP investments are being made. This form can be used for registration of “Reallocation of Nippon India PSIP”.

Illustration: How to do reallocation?

€5000 invested in the following Recommended Portfolio will be allocated as follows,

**Existing Recommended Portfolio**

<table>
<thead>
<tr>
<th>Equity/Debt/Gold</th>
<th>75/15/10</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Asset Class</strong></td>
<td><strong>Categories</strong></td>
</tr>
<tr>
<td>Equity</td>
<td>Multi Cap Fund</td>
</tr>
<tr>
<td>Debt</td>
<td>Credit Risk Fund</td>
</tr>
<tr>
<td>Gold</td>
<td>Gold FOF</td>
</tr>
</tbody>
</table>

Now after 2 years’ time, an investor wants to stop his SIP in Nippon India Growth Fund and start in a new scheme which is Nippon India Multi Cap Fund with the change in the amount as well. He can do so by filling up the reallocation form wherein he will mention his existing portfolio of schemes and also mentions the new portfolio with the necessary amounts. As a result, his existing recommended portfolio will be converted to “Investor’s Choice”.

Please note: If there is any change in the Exit load of any of the schemes of the New portfolio, the same shall be applicable from the date of re-balancing.

**New Portfolio- Investor’s Choice**

<table>
<thead>
<tr>
<th>Investor’s Risk Profile - Investor’s Choice</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Asset Class</strong></td>
</tr>
<tr>
<td>Equity</td>
</tr>
<tr>
<td>Debt</td>
</tr>
<tr>
<td>Gold</td>
</tr>
</tbody>
</table>

In the above case, if a new exit load structure is effective in Nippon India Credit Risk Fund, Nippon India Large Cap Fund or Nippon India Gold Savings Fund before the date of receipt of reallocation request, then upon registering the new portfolio for re-balancing feature, the exit load structure as on the date of receipt of reallocation request shall be applicable for subsequent installments in Nippon India Multi Cap Fund, Nippon India Credit Risk Fund, Nippon India Large Cap Fund, Nippon India Gold Savings Fund.

2. Reallocation request can be executed only once in a year i.e either after one year from first installment in Nippon India PSIP or after one year from previous reallocation date.

3. Reallocation request has to be submitted at least 10 business days prior to the next due date of Nippon India PSIP transaction.

4. Reallocation facility is available in the recommended portfolios (Aggressive, Moderate & Conservative) as well as in the investors choice option.

5. The scheme features of existing schemes and proposed schemes shall apply for executing the allocation of SIP investments in such schemes and it shall be deemed as “New Nippon India PSIP Registration” under Investors’ choice option.

6. In case the investor has opted for Step up facility in Nippon India PSIP then he can not opt for reallocation of Nippon India PSIP.

7. Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan in cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load. Similarly, in the absence of clear indication as to the choice of option (Growth/Dividend Payout), by default, the units will be allotted under the Growth Option of the default/selected plan of the scheme.

8. **Transaction Charges**

In accordance with SEBI Circular No. IMD/ DF/13/2011 dated August 22, 2011, with effect from November 1, 2011, Reliance Nippon Life Asset Management Limited (RNFAM) / NIMF shall deduct a Transaction Charge on per purchase / subscription of Rs. 10,000/- and above, as may be received from new investors (an investor who invests for the first time in any mutual fund schemes) and existing investors. The distributors shall have an option to either “Opt-in / Opt-out” from levying transaction charge based on the type of product. Therefore, the “Opt-in / Opt-out” status shall be at distributor level, basis the product selected by the distributor at the Mutual Fund industry level. Such charges shall be deducted if the investments are being made through the distributor / agent and that distributor / agent has opted to receive the transaction charges as mentioned below: For the existing investor a transaction charge of ₹100/- shall be levied for per purchase / subscription of ₹10,000 and above. The transaction charge shall be deducted from the subscription amount and paid to the distributor/agent, as the case may be and the balance shall be invested. The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment. In case of investments through Systematic Investment Plan (SIP) the transaction charges shall be deducted only if the total commitment through SIP (i.e. amount per SIP installment x No. of installments) amounts to ₹ 10,000/- and above. In such cases, the transaction charges shall be deducted in 3-4 installments.

a) The amount per purchases /subscriptions is less than ₹ 10,000/;

b) The transaction pertains to other than purchases /subscriptions relating to new inflows such as Switch / STP etc.

c) Purchases/Subscriptions made directly with the Fund through any mode (i.e.not through any distributor/agent).

d) Subscription made through Exchange Platform irrespective of investment amount.